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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031  
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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|                        |                  |
|------------------------|------------------|
| Application Number     | 10/634,689       |
| Filing Date            | August 5, 2003   |
| First Named Inventor   | Yong Moon Choi   |
| Art Unit               | 1615             |
| Examiner Name          | L. Channavajjala |
| Attorney Docket Number | 098390-34217A    |

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below):<br>1) Supplemental IDS<br>2) PTO Form 1449<br>3) One Citation along with Supplementary European Search Report<br>4) Return Receipt Postcard |
|--|---|--|

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |  |
|-------------------------|--|
| Firm or Individual name | R. Hain Swope, Esq.; Registration No.: 24,864<br>Gibbons, Del Deo, Dolan, Griffinger & Vecchione |
| Signature               |  |
| Date                    | March 7, 2005  |

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

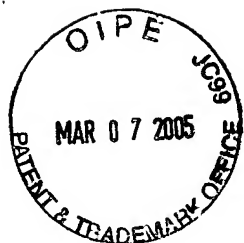
Typed or printed name

Signature

Date

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Patent Application of: Choi *et al.* :  
: Art Unit 1615  
Serial No.: 10/634,689 :  
: Examiner: L. Channavajjala  
Filed: August 5, 2003 :  
: Title: TRANSNASAL ANTICONVULSIVE :  
: COMPOSITIONS AND :  
: MODULATED PROCESS :  
: Attorney Docket No. 098390-34217A :  
:

Commissioner for Patents  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
under 37 CFR 1.97(c)**

Sir:

In accordance with 37 C.F.R. 1.97-1.99, applicants respectfully submit herewith for the record in the above-identified patent application a copy of WO 91/16929 A along with a copy of a Supplementary European Search Report. A form PTO-1449 listing this citation is enclosed as well. It will be evident from the patent family designated included with the citation that it is the equivalent of USP 5,428,006, of record against the claims of the above-identified patent application and of record in the parent application as well. Therefore, it is submitted that the enclosed citation adds nothing to the prior art already of record in the above-identified patent application. It is respectfully submitted that the Claims submitted for examination define patentable subject matter over the citation submitted herewith

Applicants are further submitting herewith a copy of a letter from the French attorney responsible for the European application to the Korean attorney responsible for prosecution outside of the United States. It is evident by the received stamp of this letter, which was obviously copied in Korea and forwarded to Applicants' U.S. counsel,

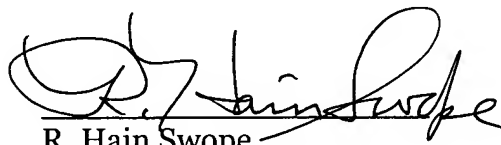
Serial No. 10/634,689

Art Unit: 1615

that the citation submitted herewith was not received by Applicants' U.S. counsel prior to February 24, 2005. Therefore, it is respectfully submitted that the submission of the enclosed citation for the record is timely and that no fee is due in connection therewith. Should any fee be required in connection with this submission, please charge such fee to Deposit Account No. 03-3839.

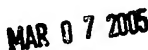
Respectfully submitted.

Date: March 7, 2005



R. Hain Swope  
Attorney for Applicants  
Registration No. 24,864

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Substitute for form 1449/PTO

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| Sheet | 1 | of | 2 |
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**Complete if Known**

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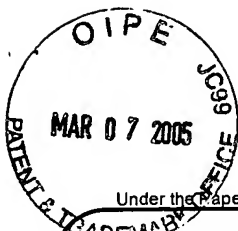
## U. S. PATENT DOCUMENTS

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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| Examiner Name          | L. Channavajjala |
| Attorney Docket Number | 098390-34217     |

Sheet 2

of

2

**NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of<br>the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue<br>number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|-----------------------|--------------------------|---|----------------|
|                       |                          | Supplementary European Search Report  |                |
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Examiner  
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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